### 8.5 Filter Implementations

#### Reading Assignment: pp. 405-411

**Q:** So, we now know how to make any and all filters with **lumped** elements—but this is a **microwave** engineering course!

You said that lumped elements where difficult to make and implement at microwave frequencies. You said that distributed elements were used to make microwave components. So how do we make a filter with distributed elements!?!

A: There are many, many ways to make microwave filters with distributed elements. Perhaps the most straightforward is to "realize" each individual lumped element with transmission line sections, and then insert these approximations in our lumped element solutions.

The first of these realizations is:

### HO: RICHARD'S TRANSFORMATIONS

To easily **implement** Richard's Transforms in a microstrip or stripline circuit, we must apply one of **Kuroda's Identities**.

### HO: KURODA'S IDENTITIES

## **Richard's Transformations**

Recall the input impedances of short-circuited and opencircuited transmission line **stubs**.

 $Z_{in}^{s} = jZ_{0} \tan \beta \ell$  $Z_0, \beta$ ſ  $Z_{in}^o = -j Z_0 \cot \beta \ell$  $Z_0, \beta$ O ÷ ſ Note that the input impedances are purely reactive—just like lumped elements! However, the reactance of lumped inductors and capacitors have a much different mathematical form to that of transmission line stubs:  $Z_{\mathcal{C}} = \frac{-j}{\omega \mathcal{C}}$  $Z_L = j\omega L$ 

In other words, the impedance of transmission line stubs and lumped elements (capacitors and inductors) are different functions with respect to **frequency**. Therefore, we can say in general that, for example:

$$Z_{in}^{s} \neq Z_{L} \qquad \qquad Z_{in}^{o} \neq Z_{C}$$

However, for a given lumped element (L or C) and a given stub (with a given  $Z_0$  and length  $\ell$ ) the functions will be equal at precisely one frequency!

For example, there is one frequency—let's call it  $\omega_c$ —that satisfies **this** equation for a given  $L, Z_0$ , and  $\ell$ :

$$j\omega_{c}L = j Z_{0} \tan \beta_{c}\ell$$

$$= j Z_0 \tan \left| \frac{\omega_c}{v_p} \ell \right|$$

or similarly satisfies this equation:

$$\frac{-j}{\omega_c C} = -j Z_0 \cot \beta_c \ell$$
$$= -j Z_0 \cot \left[\frac{\omega_c}{v_p} \ell\right]$$

To make things easier, let's set the **length** of our transmission line stub to  $\lambda_c/8$ , where:

 $\lambda_c = \frac{v_p}{\omega_c} = \frac{2\pi}{\beta_c}$ 

Q: Why 
$$\ell = \lambda_c/8$$
 ?

A: Well, for one reason,  $\beta_c \ell = \pi/4$  and therefore  $\tan(\pi/4) = 1.0!$ 

This of course greatly simplifies our earlier results:

$$j\omega_{c}L = j Z_{0} \tan\left(\frac{\pi}{4}\right) \qquad \frac{-j}{\omega_{c}C} = -j Z_{0} \cot\left(\frac{\pi}{4}\right)$$
$$= j Z_{0} \qquad = -j Z_{0}$$

Therefore, if we wish to build a **short-circuited** stub with the **same** impedance as an **inductor**  $\mathcal{L}$  at frequency  $\omega_c$ , we set the **characteristic impedance** of the stub transmission line to be  $Z_0 = \omega_c \mathcal{L}$ :

$$Z_{L} = j\omega_{c}L = Z_{in}^{s} \qquad Z_{0} = \omega_{c}L$$

Likewise, if we wish to build an **open-circuited** stub with the **same** impedance as an **capacitor** C at frequency  $\omega_c$ , we set the **characteristic impedance** of the stub transmission line to be  $Z_0 = 1/\omega_c C$ :

 $\ell = \frac{\lambda_c}{8}$ 

 $Z_{\mathcal{C}} = -j/\omega_{c}\mathcal{C} = Z_{in}^{o} \qquad Z_{0} = \frac{1}{\omega_{c}\mathcal{C}}$ 

We call these two results Richard's Transformations.

However, it is important to remember that Richard's Transformations do **not** result in **perfect** replacements for lumped elements—the stubs **do not** behave like capacitors and inductors!

 $\ell = \frac{\lambda_c}{c}$ 

Instead, the transformation is **perfect**—the impedances are **equal**—at **only one frequency** ( $\omega_c$ ).

We can use Richard's transformations to replace the inductors and capacitors of a lumped element filter design. In fact, for lowpass filter design, the frequency  $\omega_c$  is the filter's cutoff frequency.

Using these stubs to **replace** inductors and capacitors will result in a filter response **similar** to that of the lumped element design—a low pass filter with cutoff frequency  $\omega_c$ .

However, the behavior of the filter in the **stopband** will be **very** different from the lumped element design. For example, at the (high) frequencies where the stub length becomes a **multiple** of  $\lambda/2$ , the filter response will be that of  $\omega = 0$ —near perfect **transmission**!

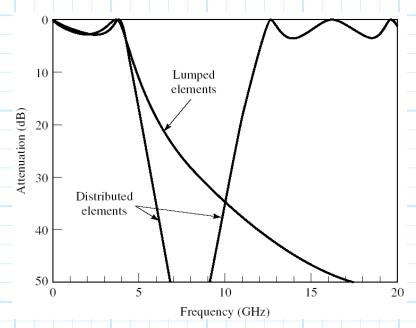


Figure 8.37 (p. 411) Amplitude responses of lumped-element and distributed-element low-pas filter of Example 8.5.

**Q**: So **why** does the filter response match the lumped element response so **well** in the **passband**?

A: To see why, we first note that the **Taylor Series** approximation for  $tan \phi$  and  $cot \phi$  when  $\phi$  is small (i.e.,  $\phi \ll 1$ ) is:

$$tan \phi \approx \phi$$
 and  $cot \phi \approx \frac{1}{4}$  for  $\phi \ll 1$ 

and  $\phi$  is expressed in **radians**.

Jim Stiles

The **impedance** of our Richard's transformation shorted stub at some **arbitrary frequency**  $\omega$  is:

$$Z_{in}^{s}(\omega) = j Z_{0} \tan\left(\beta \frac{\lambda_{c}}{8}\right)$$
$$= j(\omega_{c}L) \tan\left(\frac{\omega}{\nu_{p}} \frac{\lambda_{c}}{8}\right)$$
$$= j(\omega_{c}L) \tan\left(\frac{\omega}{\omega_{c}} \frac{\pi}{4}\right)$$

Therefore, when  $\omega \ll \omega_c$  (i.e., frequencies in the **passband** of a low-pass filter!), we can **approximate** this impedance as:

$$\begin{aligned} F_{in}^{s}(\omega) &= j\left(\omega_{c}L\right) \tan\left(\frac{\omega}{\omega_{c}}\frac{\pi}{4}\right) \\ &\approx j \,\omega_{c}L\left(\frac{\omega}{\omega_{c}}\frac{\pi}{4}\right) \\ &= j\omega L\left(\frac{\pi}{4}\right) \qquad \text{when } \omega \ll \omega_{c} \end{aligned}$$

Compare this to a lumped inductor impedance:

Ζ

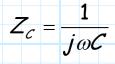
$$Z_L = j\omega L$$

Since the value  $\pi/4$  is **relatively** close to one, we find that the Richard's Transformation shorted stub has an input impedance **very** close to the lumped element inductor for **all** frequencies **less than**  $\omega_c$  (i.e., all frequencies of the low-pass filter pass-band)!

Similarly, we find that the Richard's transformation opencircuit stub has an input impedance of approximately:

$$Z_{in}^{o}(\omega) = \frac{-j}{\omega_{c}C}\cot\left(\frac{\omega}{\omega_{c}}\frac{\pi}{4}\right)$$
$$\approx \frac{-j}{\omega_{c}C}\left(\frac{\omega_{c}}{\omega}\frac{4}{\pi}\right)$$
$$= \frac{1}{j\omega C}\left(\frac{4}{\pi}\right) \qquad \text{when } \omega \ll \omega_{c}$$

Again, when compared to the **lumped element capacitor** impedance:



we find that results are approximately the same for all passband frequencies (i.e., when  $\omega \ll \omega_c$ ).

#### 1/7

# <u>Kuroda's Identities</u>

We find that **Kuroda's Identities** can be very useful in making the implementation of Richard's transformations more **practicable**.

Kuroda's Identities essentially provide a list of **equivalent** two port networks. By equivalent, we mean that they have **precisely** the same scattering/impedance/admittance/transmission matrices.

In other words, we can **replace** one two-port network with its equivalent in a circuit, and the behavior and characteristics (e.g., its scattering matrix) of the circuit will **not** change!

### Q: Why would we want to do this?

A: Because one of the equivalent may be more **practical** to implement!

For example, we can use Kuroda's Identities to:

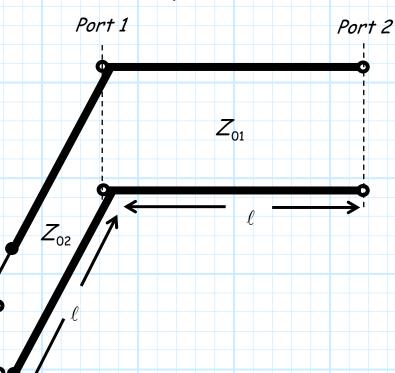
1) Physically **separate** transmission line stubs.

2) Transform series stubs into shunt stubs.

**3)** Change impractical **characteristic impedances** into more realizable ones.

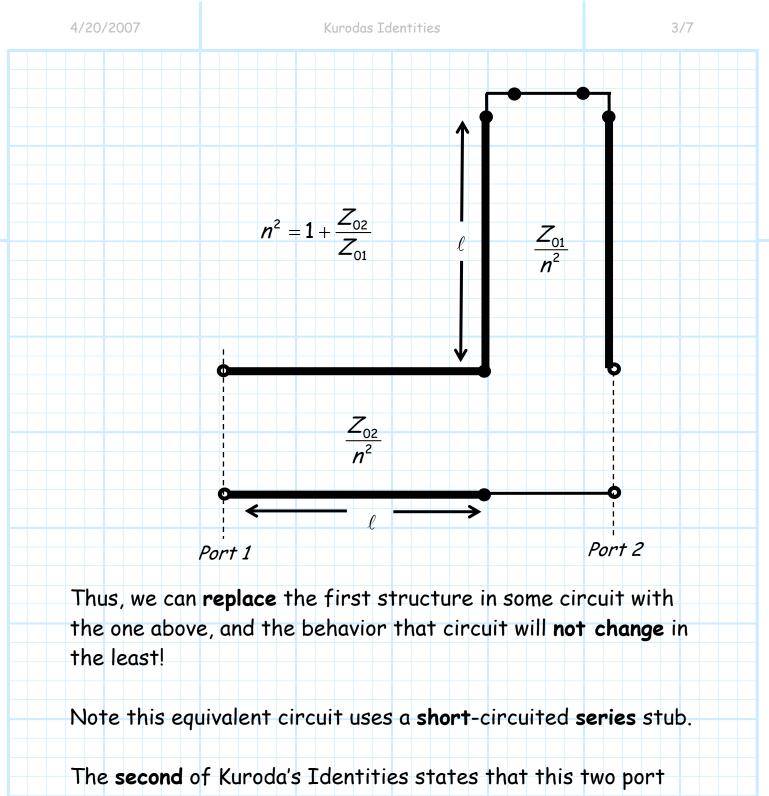
Four Kuroda's identities are provided in a very **ambiguous** and confusing table (Table 8.7) in your **book**. We will find the **first two** identities to be the most useful.

Consider the following two-port network, constructed with a length of transmission line, and an **open**-circuit **shunt** stub:

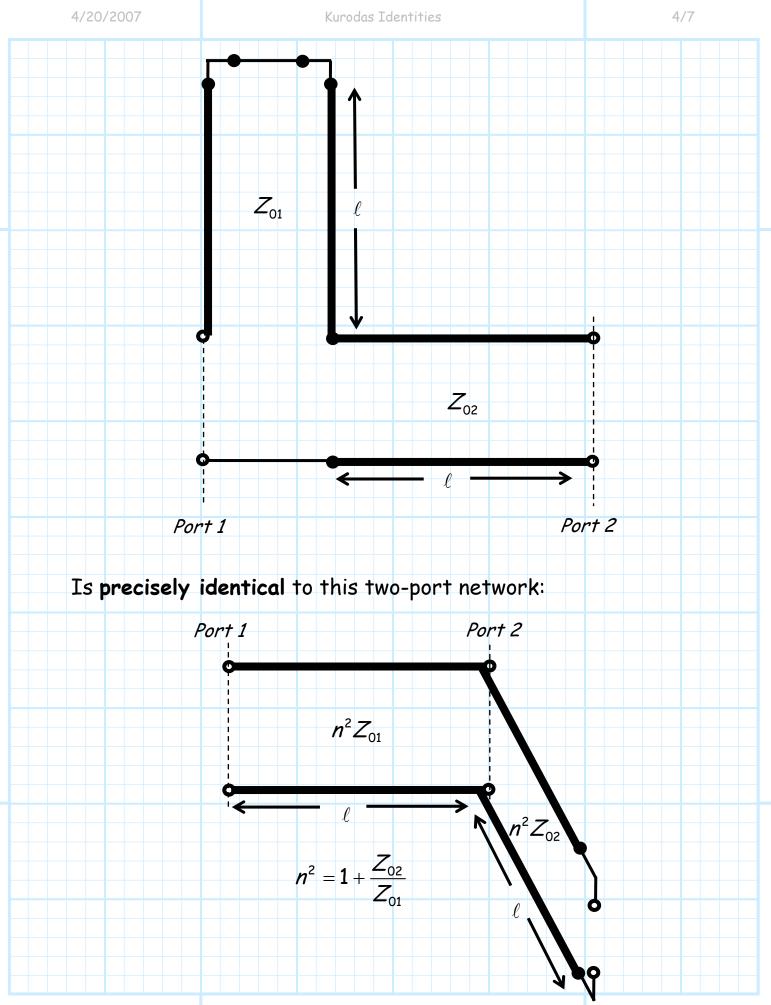


Note that the **length** of the stub and the transmission line are **identical**, but the characteristic **impedance** of each are **different**.

The first Kuroda identity states that the two-port network above is precisely the same two-port network as this one:



network:



000

4

0

With regard to **Richard's Transformation**, these identities are useful when we replace the series **inductors** with **shorted stubs**.

To see **why** this is useful when implementing a **lowpass filter** with distributed elements, consider this third order filter example, realized using Richard's Transformations:

Ο

λ<sub>c</sub>/8

 $\omega_{c}L_{1}$ 

 $1/\omega_c C_2$ 

λc/8

000

L

 $C_2$ 

0

0

 $\omega_{c}L_{3}$ 

λ<sub>c</sub>/8

Note that we have a few **problems** in terms of implementing this design!

First of all the stubs are ideally **infinitely close** to each other how do we build that? We could physically **separate** them, but this would introduce some transmission **line length** between them that would **mess up** our filter response!

Secondly, **series** stubs are difficult to construct in microstrip/stripline—we like **shunt** stubs **much** better!

λ<sub>c</sub>/8

 $Z_{0}$ 

 $\frac{\lambda_c}{8}$ 

wch

 $1/\omega_{c}C_{c}$ 

To solve these problems, we first **add** a short length of transmission line ( $Z_0$  and  $\ell = \lambda_c/8$ ) to the **beginning** and **end** of the filter:

wch

 $Z_0$ 

 $\frac{\lambda_c}{8}$ 

Note adding these lengths only results in a **phase shift** in the filter response—the transmission and reflection functions will remain **unchanged**.

Now we can use the second of **Kuroda's Identities** to replace the **series** stubs with **shunts**:

 $\lambda_c / 8$ 

 $n_1^2 \omega_c L_1$ 

w.C

<sup>7</sup>/8

n<sup>2</sup>7

8

 $n_1^2 = 1 + \frac{Z_0}{\omega l}$ 

 $\frac{\lambda_c}{8}$ 

8

 $n_3^2 \omega_c L_3$ 

 $n_3^2 = 1 + \frac{Z_0}{\omega L}$ 



Now **this** is a realizable filter! Note the **three stubs** are separated, and they are all **shunt** stubs.

Note that a specific **numerical** example (example 8.5) of this procedure is given on pp. 409-411 of **your book**.