Performance Analysis of SCM Optical Transmission Link for Fiber-to-the-Home

*EECS891* 

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# Outline

- Introduction
  - Fiber-to-the-home & WDM-PON Approach
  - SCM Approach & Project Goal

## • SCM Network

- SCM Network Architecture
- Optical Modulator & Optical Signal Side Band Modulation
- Noises Contribution in SCM Externally Modulated Optical Link

### Transmission Link Performance Analysis

- System Standard Requirements
- CATV CNR Analysis & SCM Network Scalability Using Conventional MZ Modulator
- Dual Parallel MZ Modulators
- CATV CNR Analysis & SCM Network Scalability Using DPMZ Modulators
- Digital Q-Value Analysis Uisng DPMZ Modulators

## • Fiber Nonlinearities

- Stimulated Raman Scattering (SRS) Frequency Response
- Cross Phase Modulation (XPM) Frequency Response
- SRS+XPM Crosstalk analysis in SCM Network
- Four-wave Mixing
- Overall Transmission Performance Analysis Includes Signal-Crosstalk Noise
- Conclusion & Future Work

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# Introduction: FTTH & WDM-PON

Increasing Data Services Requirements

Cost Improvements

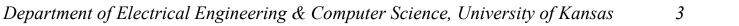
Competition

Technology Improvements

- Continued increasing data bandwidth demand
- DSL & Cable Modem unlikely to meet longer term needs
- Cost of optoelectronic equipment continues to decline
- Reduced maintenance costs

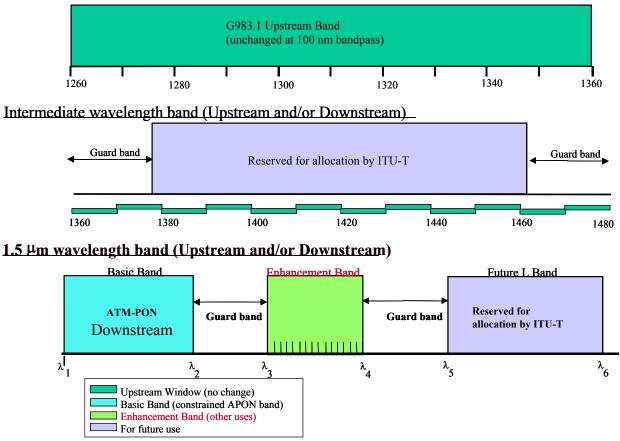
• Entertainment Video Overlay

• Future Access Network "One for All" Architecture



# **ITU-T G.983.3 Wavelength Allocation Standard**

1.3 µm wavelength band (Upstream)

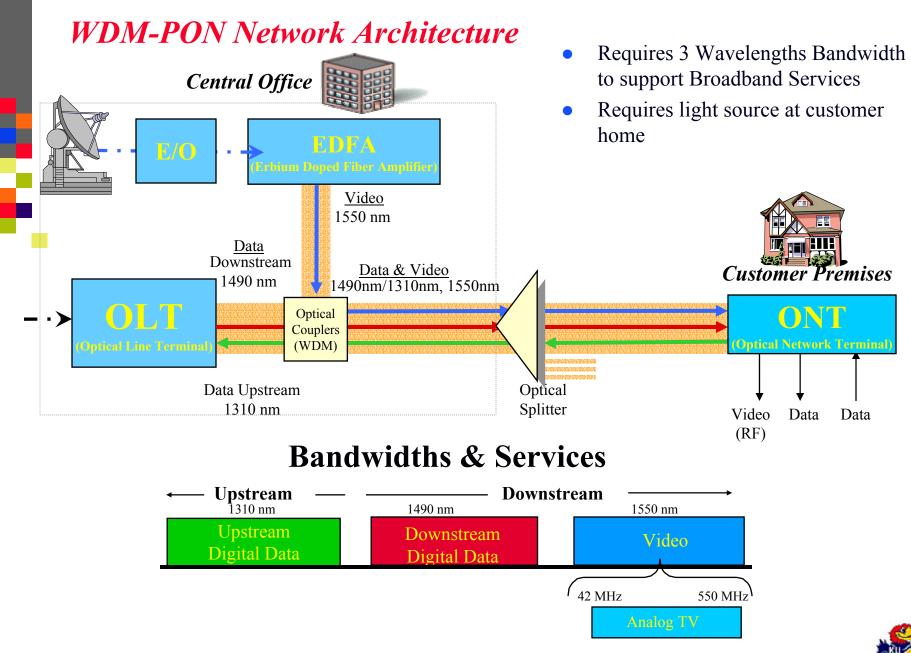


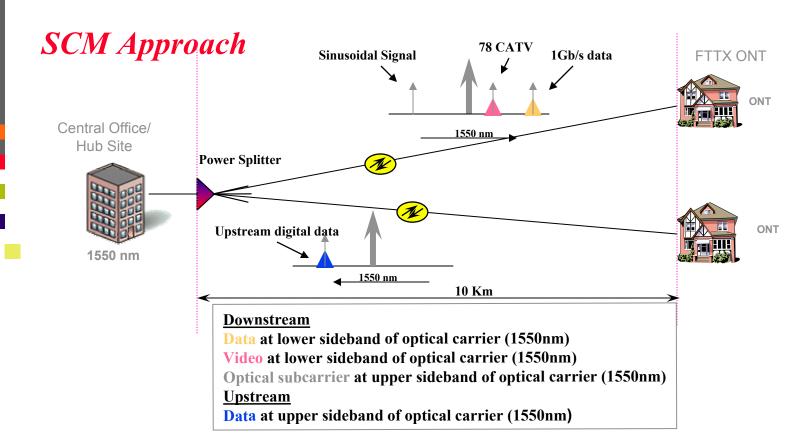
#### ITU-T G.983.3 standard for Enhancement Band

- 1.5µm wavelength Enhancement band (Upstream and /or Downstream)
- Application options at Enhancement Band (1539nm to 1560nm) are:
  - 1) Additional Digital Service Uses
  - 2) Video Distribution Service

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Use Microwave double side band technology

- Optical modulated 78 CATV channel & 1 Gb/s digital data at the lower side band of optical carrier.
- At the same time, Optical modulated a sinusoidal RF signal at the upper side band of optical carrier and deliver from CO to Customer, this optical RF signal is used as optical light source for upstream data transmission. Therefore, no laser source requires at customer side.



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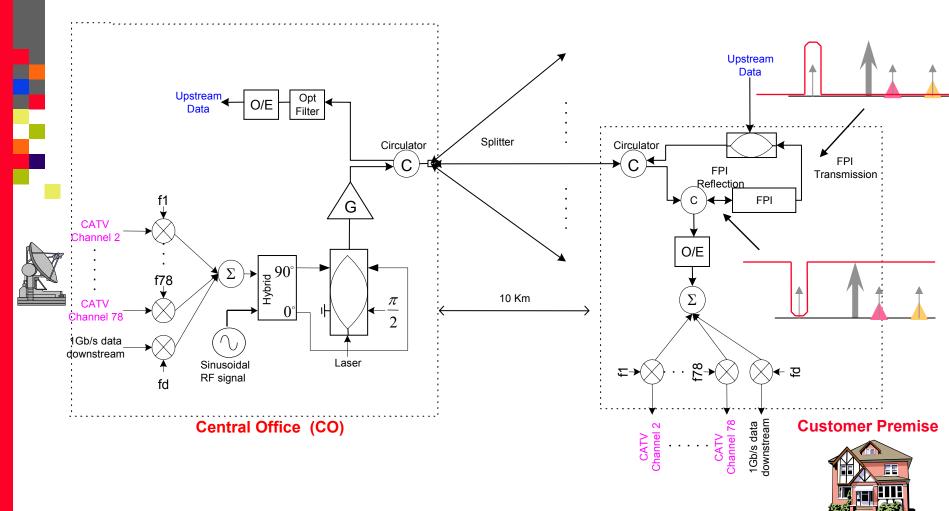
# **Project Goal**

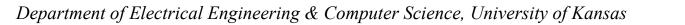
- Examine Physical Transmission Performance Using SCM Approach transmitting 78 CATV channel and 1Gb/s digital channel from CO to Customer Premises
  - Analyze 78 Analog CATV Carrier-to-Noise Ratio (CNR) Performance
  - Analyze 1Gb/s Digital Channel Q-Value Performance
  - Analyze the fiber crosstalk in SCM Network
    - Stimulated Raman Scattering (SRS)
    - Cross Phase Modulation (XPM)
    - Four wave Mixing (FWM)
  - Evaluated the Overall Transmission Performance due to fiber crosstalk

This project is the first time to demonstrate for this comprehensive analysis using microwave double side band technology for FTTH application

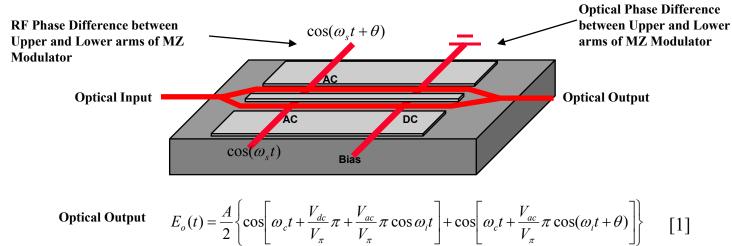


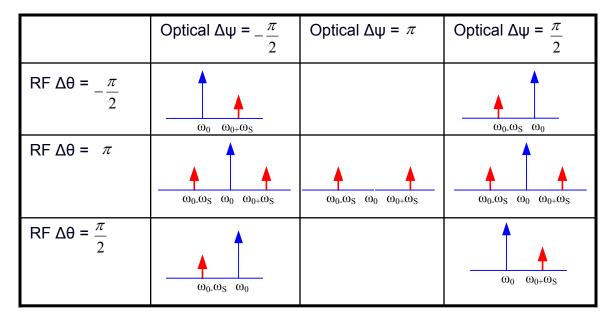
## **SCM** Network Architecture





# **Optical Modulation & MZ Modulator**

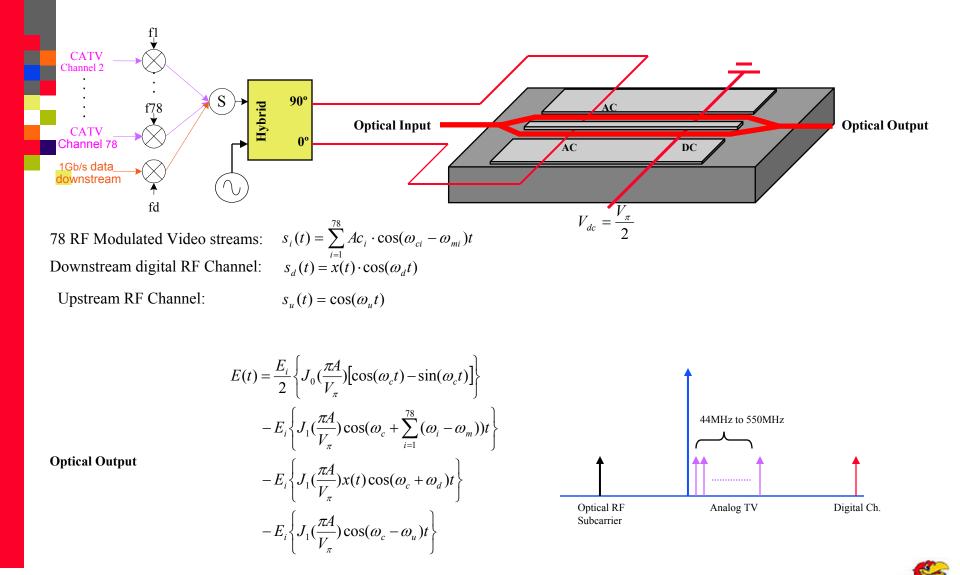




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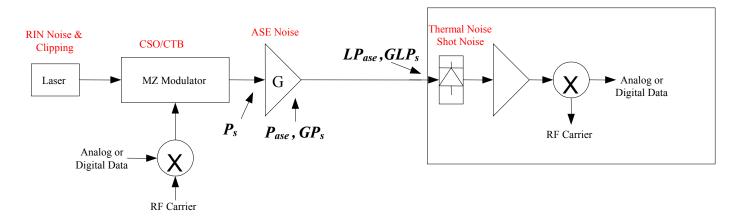


## **Optical Single Side Band Modulation**

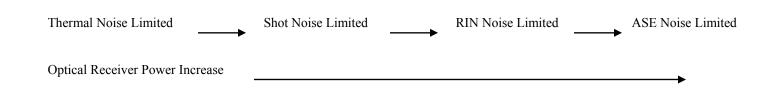


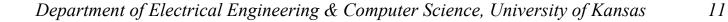
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## Noise Contributions in Optical Transmission



- Thermal Noise: Noise is generated in resistive elements (Photo-detector)
- Shot Noise: Noise is generated when an optical signal is incident on the photo-detector
- **RIN Noise**: Noise is generated by spontaneous emission with the laser source
- Booster Amplifier Noise: Noise generated by Amplifier
- Clipping: It set the fundamental limitation on how much the laser can be clipped for composite input signal
- Intermodulation Distortion: Composite second order (CSO) & Composite Triple Beat (CTB) generated by Conventional MZ Modulator





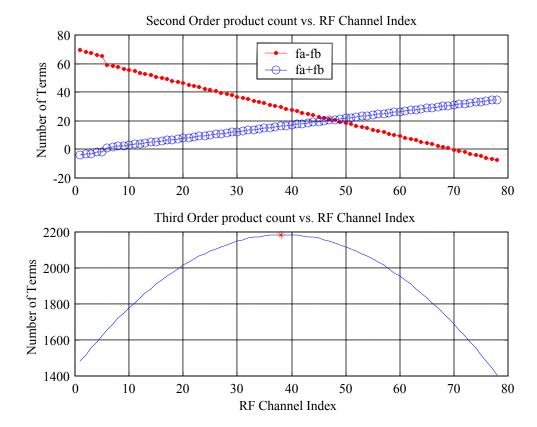


## Nonlinear Distortions (CSO, CTB) of Convention MZ Modulator

• Transfer function of MZ modulator is a sine wave-like function of input voltage

$$P_s = P_o \cdot \cos^2 \left[ \frac{\frac{\pi V(t)}{V_{\pi}} - \theta}{2} \right] = \frac{P_o}{2} + \frac{P_o}{2} \cos(\frac{\pi V(t)}{V_{\pi}} - \theta)$$

- Composite Second Order (CSO)
- : Max 79 CSO Distortion terms fall at RF channel 1 : Max 2185 CTB distortion terms fall at RF channel 38
- Composite Triple Beat (CTB)



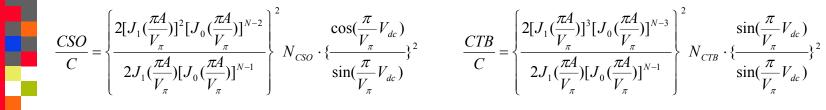
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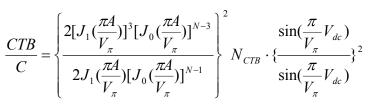


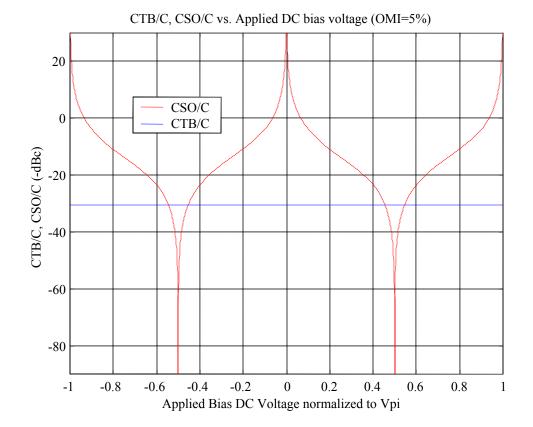
## Nonlinear Distortions (CSO, CTB) of Convention MZ Modulator

Power ratio of CSO/C

Power ratio of CTB/C







- CSO Cancelled when Applied DC Voltage bias at  $\pm 0.5 V\pi$ ,  $\pm 1.5 V\pi$ ,... (Q-point)
- CTB independent of Applied DC • Voltage
- CSO is negligible •



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## **Transmission Standard & Device Parameter Values**

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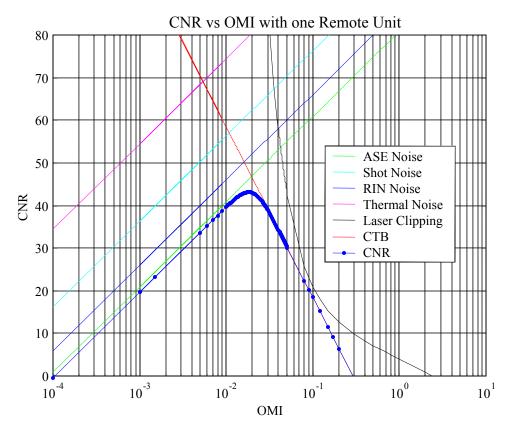
Parameter	FCC Requirement	Typical Value	Project Target
CATV Carrier/Noise	> 43dB [Section 76.605 (a) (7)]	48dB +/- 2dB	50dB
CATV CSO	> 51dBc [Section 76.605 (a) (8)]	-53dBc +/- 2dB	-60dBc
CATV CTB	> 51dBc [Section 76.605 (a) (8)]	-53dBc +/- 2dB	-60dBc
Digital Q-Value	6	6	6

Laser	<b>MZ Modulator</b>	<b>Booster Amplifier</b>	Photodiode
Power = 6, 8 &10dBm	Loss=5dB	Input Power -1, 1 & 3dBm	Responsivity = $0.8$ , $0.9$ A/W
Wavelength = 1550nm	Bandwidth = 20GHz	Output Power = 17dBm	BW = 6MHz (CATV)
RIN=-155, -160dB/Hz		Noise Figure = $5$ dB	BW = 0.75GHz (Digital)
		nsp = 1.5849	T=300K, Kb=1.38e-23
		Coupling and Isolator $loss = 2dB$	Resistance = $1000$ ohms



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# CATV CNR Analysis using Conventional MZ Modulator $CNR_{total}^{-1} = CNR_{RIN}^{-1} + CNR_{Thermal}^{-1} + CNR_{shot}^{-1} + CNR_{ASE}^{-1} + CNR_{Clipping}^{-1} + CNR_{CTB}^{-1}$ $CNR_{total}^{-1} = \left[\frac{m^{2}I_{p}^{2}}{2RIN \cdot I_{p}^{2}B}\right]^{-1} + \left[\frac{m^{2}I_{p}^{2}}{\frac{8KTB}{R}}\right]^{-1} + \left[\frac{m^{2}I_{p}}{4qI_{p}B}\right]^{-1} + \left[\frac{m^{2}I_{s}}{8hfn_{sp}RB}\right]^{-1} + \left[\frac{\sqrt{2\pi}(1+6\mu^{2})e^{\frac{1}{2\mu^{2}}}}{\mu^{3}}\right]^{-1} + \left[\frac{16}{m^{4} \cdot N_{ctb}}\right]^{-1}$



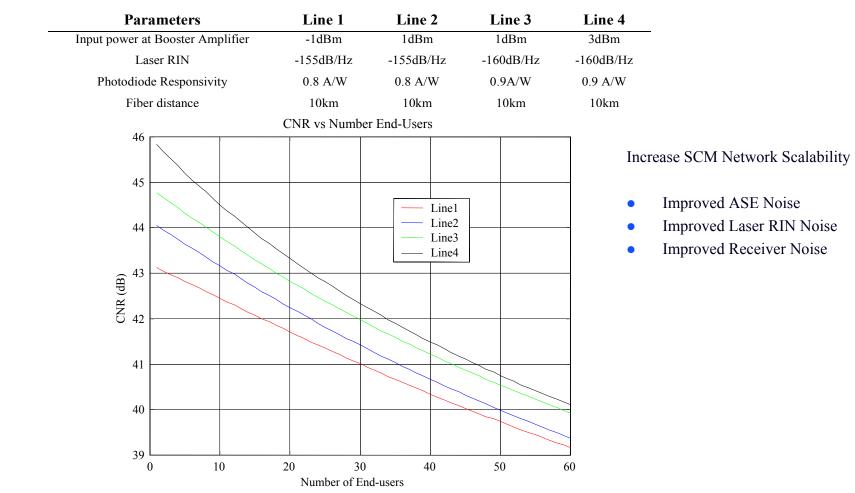
#### **Parameter Values**

- Optical Power budget : 1 end Users
- RIN=-155dB/Hz
- -1dBm input at Booster Amplifier
- R=0.8 A/W
- CNR=43.1dB (Maximum) C/CTB = 47dB OMI = 1.84%
- Disregarding CTB term for the moment:
  1) CNR increases to 50dB, as OMI increases to 3.4% OMI.

2) As OMI continues to increase, Clipping becomes dominant

3) Optimized OMI from 3% to 5% range





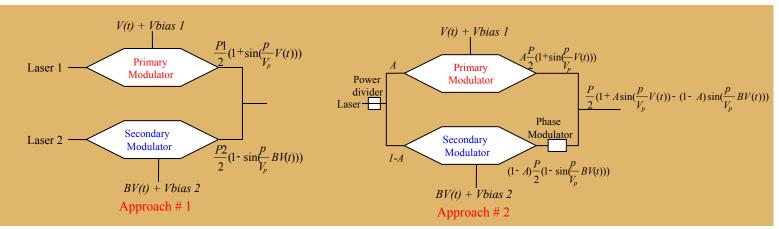
## Scalability of SCM network using Conventional MZ Modulator

- The "SCM/WDM-PON" network scalability can not be improved further as the third order nonlinear distortion (CTB) severe limit overall CNR performance
- It cannot implement in practical CATV network without reduced CTB noise

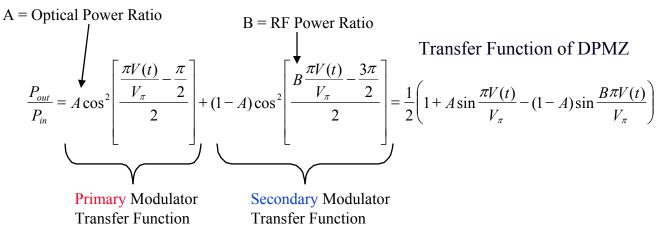
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## **Dual Parallel Linearized External Modulators**



- Primary Modulator bias at Q-point ( $V_{dc} = 0.5V_{\pi}$ )
- Secondary Modulator bias at Q-point 180° from the point chosen for the primary modulator ( $V_{dc} = 1.5V_{\pi}$ )
- Apply higher RF driver power and less Optical power to secondary modulator. This result higher OMI and higher distortion. CTB created in secondary modulator can be made to cancel the distortion products from the primary modulator [2]



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## **Dual Parallel Linearized External Modulators**

- Amplitude of Fundamental carrier with frequency  $\omega_k$
- Amplitude of third order distortion component of the frequency  $\omega_i + \omega_j + \omega_k$

0.98

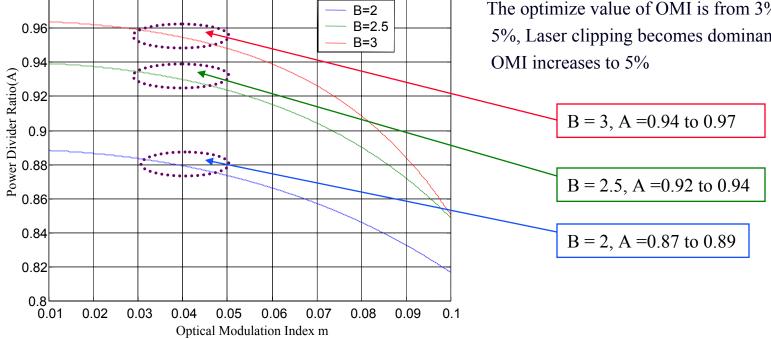
DPMZ Power Divider Ratio vs. OMI

$$\frac{P_{\omega_{k}}}{P_{in}} = AJ_{1}(\frac{\pi A}{V_{\pi}})J_{0}(\frac{\pi A}{V_{\pi}})^{N-1} - (1-A)J_{1}(B\frac{\pi A}{V_{\pi}})J_{0}(B\frac{\pi A}{V_{\pi}})^{N-1}$$

$$\frac{P_{\omega_{k}+\omega_{j}+\omega_{k}}}{P_{in}} = AJ_{1}(\frac{\pi A}{V_{\pi}})^{3}J_{0}(\frac{\pi A}{V_{\pi}})^{N-3} - (1-A)J_{1}(B\frac{\pi A}{V_{\pi}})^{3}J_{0}(B\frac{\pi A}{V_{\pi}})^{N-3}$$

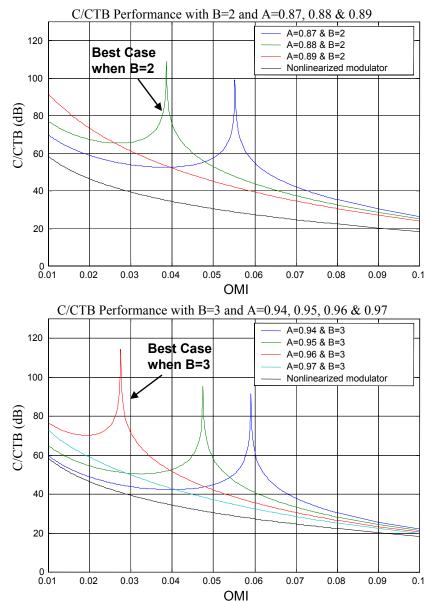
$$A = \frac{J_{1}(B\frac{\pi A}{V_{\pi}})^{3}J_{0}(B\frac{\pi A}{V_{\pi}})^{N-3}}{J_{1}(\frac{\pi A}{V_{\pi}})^{3}J_{0}(\frac{\pi A}{V_{\pi}})^{N-3} + J_{1}(B\frac{\pi A}{V_{\pi}})^{3}J_{0}(B\frac{\pi A}{V_{\pi}})^{N-3}}$$

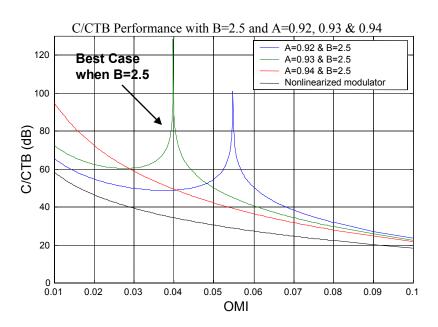
The optimize value of OMI is from 3% to 5%, Laser clipping becomes dominant as OMI increases to 5%



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## **DPMZ**





**Case I:** A=0.88, B=2, OMI = (1% - 4.5%), CTB/C > 60 dB

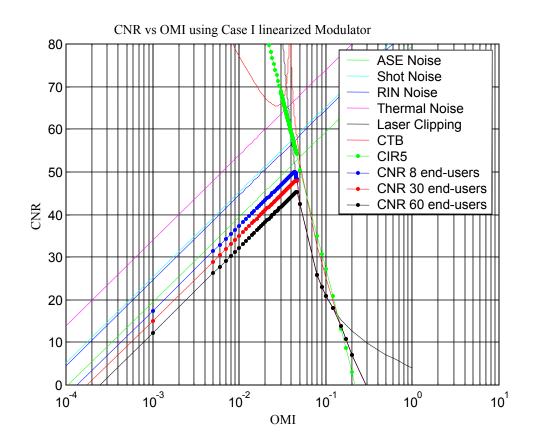
**Case II:** A=0.93, B=2.5, OMI = (1% - 4.4%), CTB/C > 60 dB

**Case III:** A=0.96, B=3, OMI = (1% - 3.4%), CTB/C > 60 dB



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# **CATV CNR Analysis Using Linearized MZ Modulator**



#### **Parameter Values**

Linear Modulator

• A = 2 & B = 0.88

**Optical Power budget** 

- 8 Customers
- 30 Customers
- 60 Customers

RIN=-155dB/Hz

-1dBm input at Booster Amplifier R=0.8 A/W

#### Results

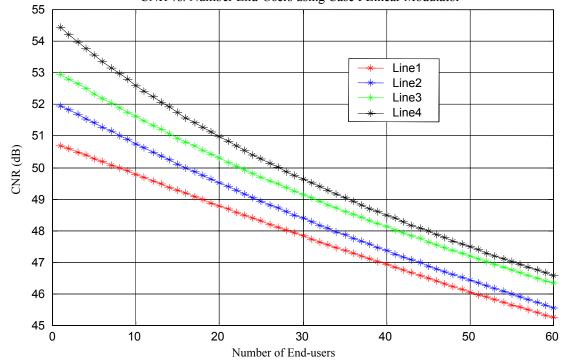
- Optical Power Budget = 8 Customers
- CNR=50dB
- C/CTB = 60.5dBc OMI = 4.48%



	•	<b>4</b>		0
PARAMETERS	Line 1	Line 2	Line 3	Line 4
Case I : MZ Modulator	A=0.88 &	A=0.88 &	A=0.88 &	A=0.88 &
	B=2	B=2	B=2	B=2
Input power at Booster Amplifier	-1dBm	1dBm	1dBm	3dBm
Laser RIN	-155dB/Hz	- 155dB/Hz	-160dB/Hz	- 160dB/Hz
Photodiode Responsivity	0.8 A/W	0.8 A/W	0.9A/W	0.9 A/W
Fiber distance	10km	10km	10km	10km
RESULTS				
No. of End - Users > 50dB CNR	8	15	22	27
CNR per Channel	50 dB	50.1163 dB	50.0553dB	50.0079 dB
C/CTB per Channel	60.4695 dB	60.6555 dB	60.65dB	60.655dB
OMI per Channel	4.48 %	4.47 %	4.47 %	4.47%
Receiver Optical Power per RF Channel	-13.647dBm	-16.37dBm	- 18dBm	-18.9dBm

## Scalability of SCM Externally M0odulated Optical Link Using DPZM

CNR vs. Number End-Users using Case I Linear Modulator



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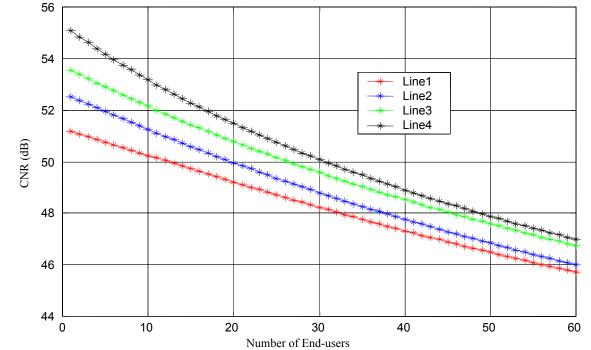


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	PARAMETERS	Line 1	Line 2	Line 3	Line 4
	Case II: MZ Modulator	A=0.93 & B=2.5	A=0.93 & B=2.5	A=0.93 & B=2.5	A=0.93 & B=2.5
	Input power at Booster Amplifier	-1dBm	1dBm	1dBm	3dBm
	Laser RIN	-155dB/Hz	-155dB/Hz	-160dB/Hz	-160dB/Hz
	Photodiode Responsivity	0.8 A/W	0.8 A/W	0.9A/W	0.9 A/W
	Fiber distance	10km	10km	10km	10k m
	RESULTS				
	No. of End $-$ Users $> 50$ dB CNR	12	19	26	30
	CNR per Channel	50.036 dB	50.085 dB	50.043dB	50.08 dB
	C/CTB per Channel	61.9 dB	61.89dB	61.89dB	61.89dB
	OMI per Channel	4.34 %	4.34 %	4.34 %	4.34%
	Receiver Optical Power per RF Ch	-14.8dBm	-16.8dBm	-18.1dBm	-18.8dBm

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## Scalability of SCM Externally Modulated Optical Link Using DPZM

CNR vs. Number End-Users using Case II Linear Modulator



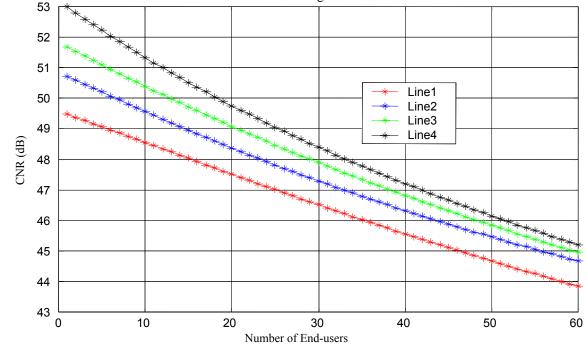
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Parameters	Line 1	Line 2	Line 3	Line 4
Case III: MZ Modulator	A=0.96 &	A=0.96 &	A=0.96 &	A=0.96 &
	B=3	B=3	B=3	B=3
Input power at Booster Amplifier	-1dBm	1dBm	1dBm	3dBm
Laser RIN	-155dB/Hz	-155dB/Hz	-160dB/Hz	-160dB/Hz
Photodiode Responsivity	0.8 A/W	0.8 A/W	0.9A/W	0.9 A/W
Fiber distance	10km	10km	10km	10km
RESULTS				
No. of End -Users > 50dB CNR	0	6	12	18
CNR per Channel	49.47 dB	50.062 dB	50.1182dB	50.05 dB
C/CTB per Channel	60.0191 dB	60.0191dB	60.0191dB	60.0191dB
OMI per Channel	3.43 %	3.43 %	3.43 %	3.43%
Receiver Optical Power per RF Channel	-4.6dBm	-12.38dBm	-15.9dBm	-17.6dBm

## Scalability of SCM Externally Modulated Optical Link Using DPZM

CNR vs. Number End-Users using Case III Linear Modulator



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## **Digital Data Q-Value Analysis**

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$$I_{p} = I \cdot \left\{ \left[ 2AJ_{1}(\frac{\pi V}{V_{\pi}})J_{0}(\frac{\pi V}{V_{\pi}})^{N-1} \cdot x(t) \cdot \cos(\omega_{d})t \right] - \left[ 2(1-A)J_{1}(B\frac{\pi V}{V_{\pi}})J_{0}(B\frac{\pi V}{V_{\pi}})^{N-1} \cdot x(t) \cdot \cos(\omega_{d})t \right] \right\}$$

$$Q_{BPSK} = \frac{I_{p}(1) - I_{p}(-1)}{\sigma(1) + \sigma(-1)} = \frac{2 \cdot I \cdot \left\{ \left[ 2A(\frac{m}{2})e^{-m^{2}N/4} \right] - \left[ 2(1-A)(\frac{Bm}{2})e^{-B^{2}m^{2}N/4} \right] \right\}}{2(\sigma_{Shot} + \sigma_{RIN} + \sigma_{Thermal} + \sigma_{ASE})}$$

$$Q_{QPSK} = \frac{I_{p}(\frac{1}{\sqrt{2}}) - I_{p}(-\frac{1}{\sqrt{2}})}{\sigma(\frac{1}{\sqrt{2}}) + \sigma(-\frac{1}{\sqrt{2}})} = \frac{I \cdot \left\{ \left[ 2A(\frac{m}{2})e^{-m^{2}N/4} \right] - \left[ 2(1-A)(\frac{Bm}{2})e^{-B^{2}m^{2}N/4} \right] \right\}}{\sqrt{2} \cdot (\sigma_{Shot} + \sigma_{RIN} + \sigma_{Thermal} + \sigma_{ASE})}$$

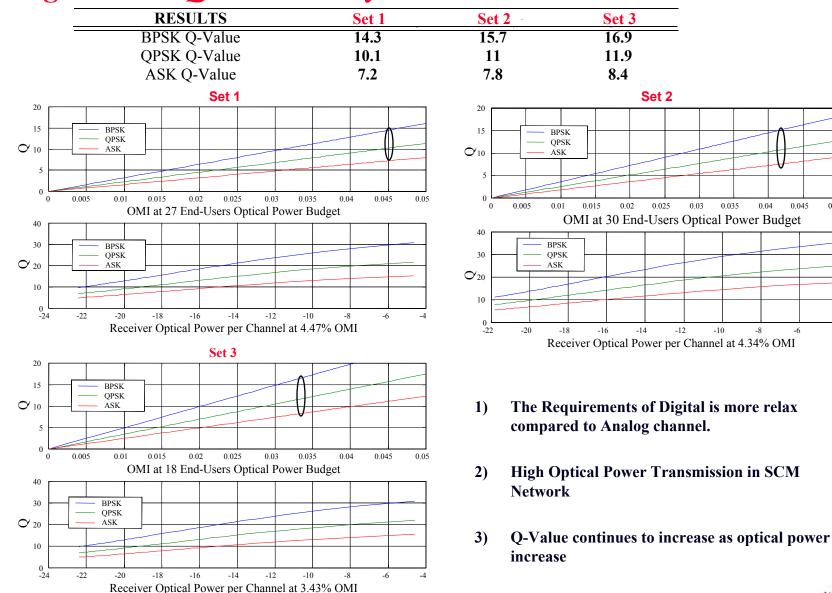
$$Q_{ASK} = \frac{I_{p}(1) - I_{p}(0)}{(1) + \sigma(0)} = \frac{I \cdot \left\{ \left[ 2A(\frac{m}{2})e^{-m^{2}N/4} \right] - \left[ 2(1-A)(\frac{Bm}{2})e^{-B^{2}m^{2}N/4} \right] \right\}}{2(1 - A)(\frac{Bm}{2})e^{-B^{2}m^{2}N/4}}$$

$$ASK = \frac{1}{\sigma(1) + \sigma(0)} = \frac{1}{2(\sigma_{Shot} + \sigma_{RIN} + \sigma_{Thermal} + \sigma_{ASE})}$$

Parameter	Set 1	Set 2	Set 3
Linear MZ Modulator	A=0.88 & B=2	A=0.93 & B=2.5	A=0.96 & B=3
Input power at Booster Amplifier	3dBm	3dBm	3dBm
Laser RIN	-160dB/Hz	-160dB/Hz	-160dB/Hz
Photodiode Responsivity	0.9 A/W	0.9 A/W	0.9A/W
Fiber distance	10km	10km	10km
No. of End-Users	27	30	18
OMI / Channel	4.47%	4.34%	3.43%
Receiver Optical Channel Power	-18.9dBm	-18.8dBm	-17.6dBm

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## Digital Data Q-Value Analysis

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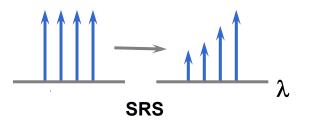
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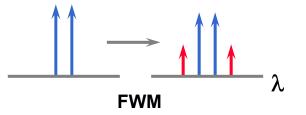
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# Fiber Nonlinearities (From Linear to Non-linear Propagation)

- Types of Fiber Nonlinearities
- Stimulated Scattering
  - Raman (SRS)
- Nonlinear index (Kerr Effect)
  - Cross-phase modulation (XPM)
  - Four-wave mixing (FWM)



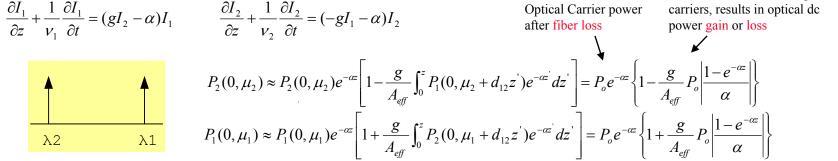


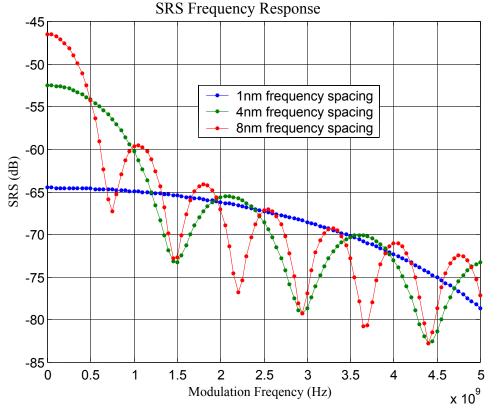


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## Stimulated Raman Scattering (SRS) Frequency Response Concept in WDM Network [3]

Use coupled propagation equations to solve for SRS Crosstalk level





#### **Parameter Values**

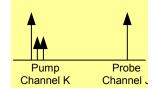
- SM fiber, Slope of Raman Gain = 5e-15m/W/THz
- 10dBm optical power entering fiber
- 10km fiber length
- Dispersion = 17ps/nm/km
- Transfer Function of SRS has a low pass filter characteristic
- SRS increases, as the Frequency Spacing between two channel increases.
- SRS is dominant at high frequency spacing and at small modulation frequency

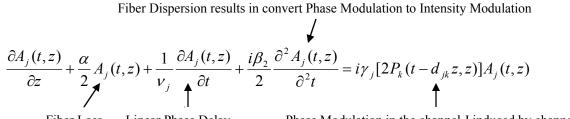
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Interaction between the optical

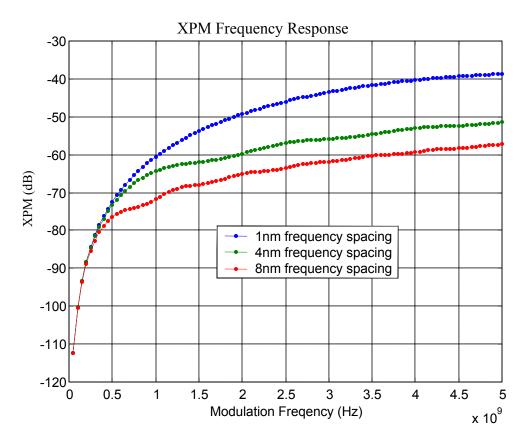
## **Cross Phase Modulation (XPM) Frequency Response Concept in WDM** Network [4]





Fiber Loss Linear Phase Delay

Phase Modulation in the channel J induced by channel k

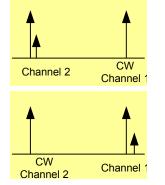


#### **Parameter Values**

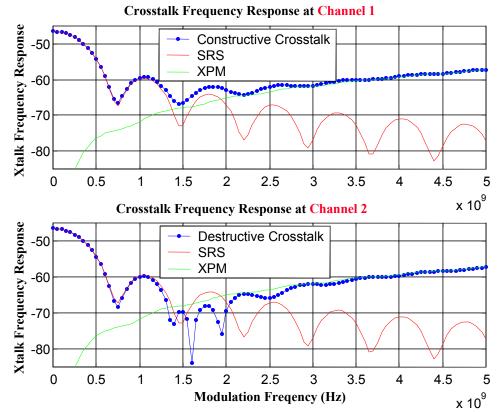
- 10dBm optical power entering fiber
- 10km fiber length
- Dispersion = 17ps/nm/km
- XPM transfer function has a high pass filter characteristic
- XPM increases, as the Frequency Spacing between two channel decreases.
- XPM is dominant at small frequency spacing and at large modulation frequency



# Constructive & Destructive SRS+XPM Frequency Response Concept in WDM Network [3]



- Crosstalk at Channel 1 (Constructive)
- Power gain through SRS Interaction
- XPM crosstalk at Channel 1
- Crosstalk at Channel 2 (Destructive)
- Power depletion through SRS Interaction
- XPM crosstalk at Channel 2

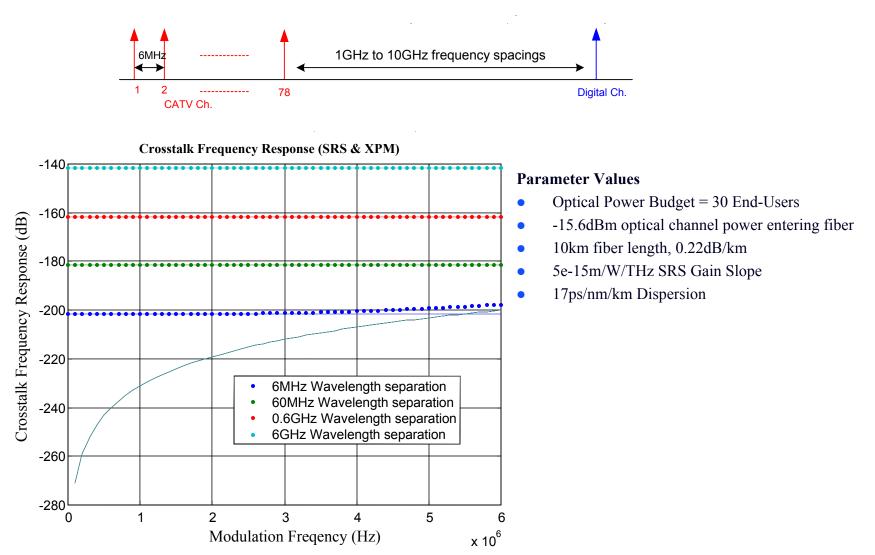


#### **Parameter Values**

- 10dBm optical power entering fiber
- 10km fiber length
- 17ps/nm/km Dispersion
- 0.8 nm Frequency spacing
- SRS dominant for small modulated frequency or large wavelength separation
- XPM is dominant for large modulated frequency or small wavelength separation
- In between we must consider whether the Channel suffered by SRS is going through power gain or depletion

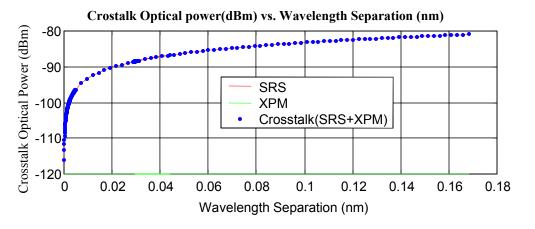


## SRS+XPM crosstalk in SCM Externally Modulated Network

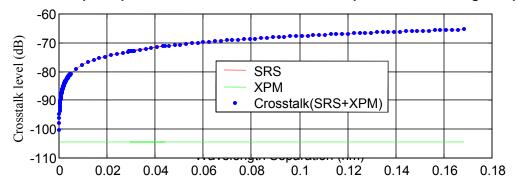




# SRS+XPM Crosstalk in SCM Externally Modulated Network



Crosstalk optical power normalized to transmitted ch. power vs. Wavelength Separation (nm)



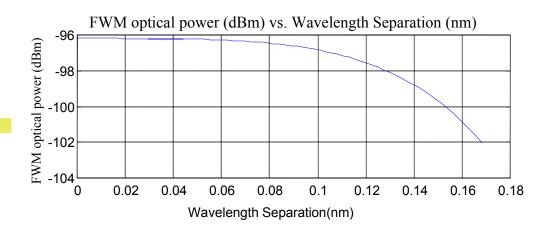
• SRS is the dominant crosstalk compared to XPM

• Overall result, SRS & XPM crosstalk shows very minimal impact between two subcarrier under same wavelength

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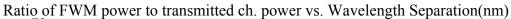
## Four-Wave Mixing

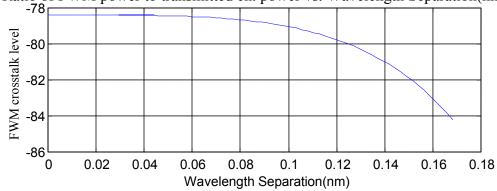


$$P_{ijk}(L) = \frac{1024\pi^6}{n^4 \lambda^2 c^2} (DX_{1111})^2 (\frac{P_i P_j P_k}{A_{eff}^2}) L_{eff}^2 \eta$$

#### **Parameter Values**

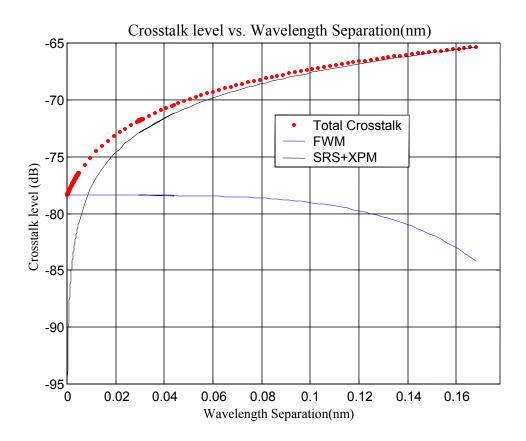
- Optical Power Budget = 30 End Users
- -15.6dBm optical channel power entering fiber
- 10km SM standard fiber
- 17ps/nm/km Dispersion
- D=6 (None of Frequencies are the same)





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# Total Crosstalk in SCM Externally Modulated Network



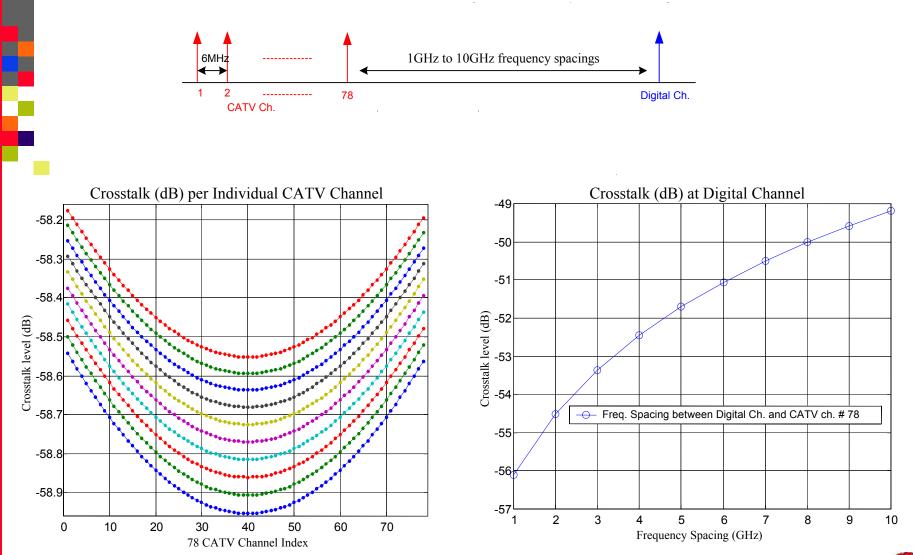
- FWM is the major source of nonlinear crosstalk in SCM optical systems with extremely narrow spacing between RF channels
- SRS becomes dominant as channel spacing increases

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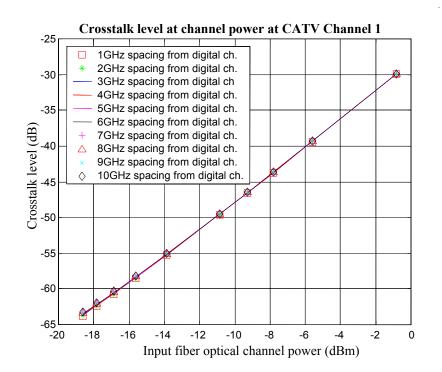
## Total Crosstalk in SCM Externally Modulated Network



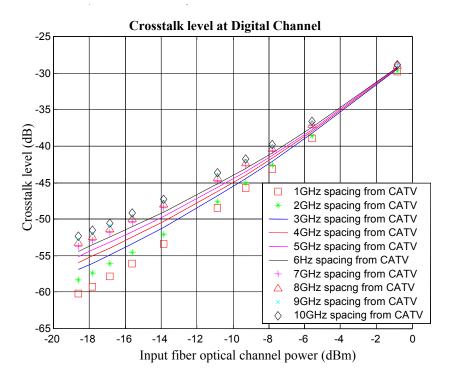
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## Total Crosstalk in SCM Externally Modulated Network



• The CATV Crosstalk level remains constant as power increases because FWM is the dominant Crosstalk at narrow channel spacing

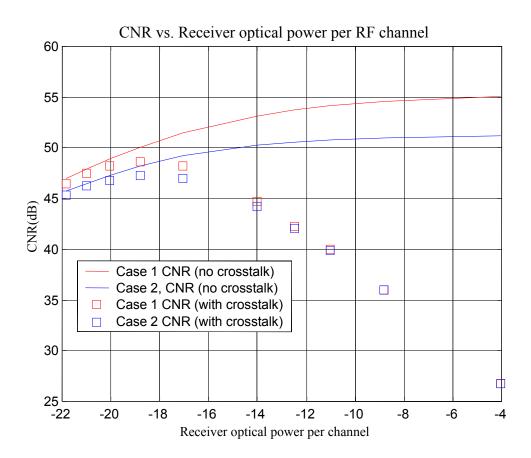


• It demonstrates that as optical power increases, FWM becomes the dominant crosstalk in SCM externally modulated Network



## Signal-crosstalk Noise in SCM Transmission Performance for CATV

PARAMETERS	Case 1	Case 2
Linearized MZ Modulator	A=0.93 & B=2.5	A=0.93 & B=2.5
Input power at Booster Amplifier	-1dBm	3dBm
Laser RIN	-155dB/Hz	-160dB/Hz
Photodiode Responsivity	0.8 A/W	0.9 A/W
Fiber distance	10km	10km
OMI	4.343%	4.343%



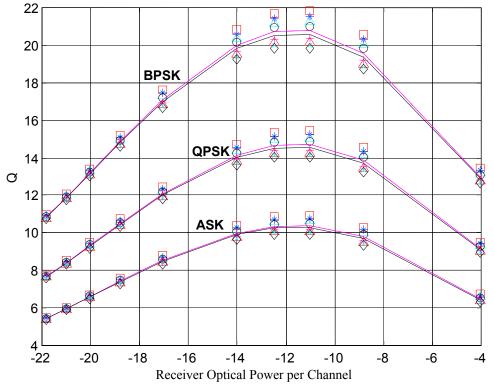
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## Signal-crosstalk Noise in SCM Transmission Performance for Digital Data

PARAMETERS	• • • •
Linearized MZ Modulator	A=0.93 & B=2.5
Input power at Booster Amplifier	3dBm
Laser RIN	-160dB/Hz
Photodiode Responsivity	0.9 A/W
Fiber distance	10km
OMI	4.343%

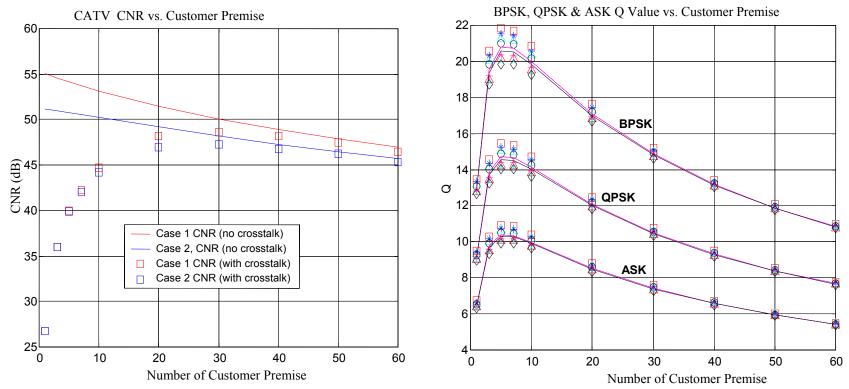




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## **Conclusion**



• Transmission Quality

- Optimizing the Receiver Optical Power per RF Channel = -17dBm to -20dBm
- CATV CNR in the range of **48dB to 48.5dB**
- Digital BPSK Q = **13 to 17**
- Digital QPSK Q = 9 to 12
- Digital ASK Q = 6 to 8
- Network Scalability
  - Number of Customers premises = 20 to 40

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## Future Work

- Analyze the uplink transmission performance and the impact of optical crosstalk under bidirectional fiber transmission.
- Analyze uplink multiple access method such as Time Division Multiple Access (TDMA), Subcarrier Multiple Access (SCMA) and their efficiencies.
- Because Narrow-band optical filter is relative expensive compared to wide-band optical filter, further study in separate upper and lower side-band of optical carrier at end-user is suggested.
- The even-order distortion produced by a MZ modulator can be cancelled using OSSB Modulation. CSO is also affected by various phenomena such as chirp, fiber chromatic dispersion and polarization-mod dispersion (PMD), self-phase modulation (SPM) as well as gain-tilt of optical amplifiers. Future study in CSO distortion in SCM externally modulated optical network is suggested.



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Acknowledgements & Questions

Many Thanks to:

- Dr. Hui for his guidance throughout this project
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Questions?

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